TH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:

10/632,000

Confirmation No.:

& TRATHINg Date:

7/30/2003

Art Unit:

5 Inventor:

Wolman, Abel

Examiner:

Title:

GEOMETRIZATION FOR PATTERN RECOGNITION, DATA

ANALYSIS, DATA MERGING, AND MULTIPLE CRITERIA

DECISION MAKING

Attorney Docket:

1624-3641

10

4201 SW Vacuna Portland, OR 97219

15 Commissioner for Patents

PO BOX 1450

Alexandria, VA 22313-1450

20

SUBMISSION OF INFORMATION DISCLOSURE STATEMENT

Dear Sir or Madam:

Enclosed herewith please find:

25

6 Sheets 1449/PTO Form:

4 sheets citing 28 Non Patent Literature Documents

2 sheets citing US Patent Documents

Copies of Cited Non Patent Literature Documents

30 Return Postcard

35

Respectfully submitted,

Keith A. Cushing 503 220 8575

Reg. No. 32,407

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the US Postal Service as First Class Mail, in an envelope addressed to: Commissioner for Patents PO BOX 1450 Alexandria, VA 22313-1450, on the date indicated below.

Date____

Keith A. Cushing Attorney of Record Reg. No. 32,407 PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0551-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSPORT the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitut	te for form 1449/PTO			Complete if Known			
Cabsilla	10/10/11/11/11/17/07/170			Application Number	10/632,000		
INF	ORMATION	DIS	CLOSURE	Filing Date	07/30/2003		
STATEMENT BY APPLICANT				First Named Inventor	Wolman, Abel		
	(Use as many she	oto no s	ooossand	Art Unit	2171		
	(Use as many sne	eis as n	ecessary)	Examiner Name			
Sheet	1	of	6	Attorney Docket Number	1624-3641		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	BAIRD, J. C., Selection from Fundamentals of Scaling and Psychophysics, Wiley, 1978, pp. 177–205.	
	2	BORG, I. AND GROENEN, P., Modern Multidimensional Scaling: Theory and Applications, Springer, New York, 1997, pp. 15-27; 159-173.	
	3	CARROLL, J. D. AND CHANG, J., "Analysis of individual differences in multidimensional scaling via an n-way generalization of "Eckart-Young" decomposition," Psychometrika, 35,	
	//	1970, pp. 283–319.	
	4	COMMANDEUR, J. AND HEISER, W., "Mathematical derivations in the proximity scaling (Proxscal) of symmetric data matrices," Tech. Report No. 99-93-03, Department of Data Theory,	
	//	Leiden University, Leiden, The Netherlands, 1999.	
	5	CUNNINGHAM, J. P. AND SHEPARD, R. N., "Monotone mapping for similarities into a general metric space," J. Math. Psychol., 11, 1974, 335–363.	
	6	DE LEEUW, J. AND HEISER, W., "Theory of multidimensional scaling," in P. R. Krishnaiah and L. N. Kanal, Eds., Handbook of Statistics, Vol. 2. North-Holland, New York, 1982,	
	7	FANG, W., "Disagreement degree of multi-person judgements in an additive structure," Mathematical Social Sciences, 28, 1994, pp. 85–111.	
	8	GIFI, A., "Algorithm descriptions for Anacor, Homals, Princals, and Overals," Tech. Report No. RR-89-01, Department of Data Theory, University of Leiden, 1989.	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing hourden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	ite for form 1449/PTO		a or 1995, no persons ar	Complete if Known		
Gubsino	10101101111111111111			Application Number	10/632,000	
INF	ORMATION	DIS	CLOSURE	Filing Date	07/30/2003	
STA	TEMENT B	BY A	PPLICANT	First Named Inventor	Wolman, Abel	
	(Use as many she	ate ae n	eressand	Art Unit	2171	
	(Use as many sne	CI3 03 11	ecessary)	Examiner Name		
Sheet	2	of	6	Attorney Docket Number	1624-3641	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	9	GOODHILL, G. J. ET AL., "An evaluation of the use of multidimensional scaling for understanding brain connectivity," Research Paper EUCCS/RP-63, University of Edinburgh, 1994,	
	//	1994, pp. 1–23.	
	10	KENDALL, G. D., "Seriation," in Hodson et al., Mathematics in the Archaeological and Historical Sciences, 1970, pp. 215–251.	
	11	LINGOES, J. C. ET AL., Geometric Representations of Relational Data, Mathesis Press, Ann Arbor, 1979, pp. 313–317, 529–539, 791–815.	
	12	MCGEE, V. E., "The multidimensional analysis of 'elastic' distances," The British Journal of Mathematical and Statistical Psychology, 19, 1966, 181–196.	
	13	MCGEE, V. E., "Multidimensional scaling of n sets of similarity measures: a nonmetric individual differences approach," Multivariate Behavioral Research, 1968, pp. 233–249.	
	14	MIELKE, P. W. AND BERRY, K. J., Permutation Methods: A Distance Function Approach, Springer, New York, 2001, pp. 32–36.	
	15	NARENS, L., Theories of Meaningfulness. Lawrence Erlbaum, Mahwah, New Jersey, 2002, pp. 45–56.	
	16	OSBORNE, D. K., "Further extensions of a theorem of dimensional analysis," J. Math. Psychol., 7, 1970, pp. 236–242.	
	17	OVCHINNIKOV, S., "Means of ordered sets," Math. Social Sci., 32, 1996, pp. 39–56.	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTC/SB/08B (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitu	te for form 1449/PTO			Complete if Known		
Oubstite	ne for form 144001 TO			Application Number	10/632,000	
INF	ORMATION	I DIS	CLOSURE	Filing Date	07/30/2003	
STA	ATEMENT E	BY A	PPLICANT	First Named Inventor	Wolman, Abel	
	(Use as many she	-ata aa m		Art Unit	2171	
	(Use as many sne	eis as n	ecessary)	Examiner Name		
Sheet	3	of	6	Attorney Docket Number	1624-3641	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	18	ROBERTS, F. S., "Limitations on conclusions using scales of measurement," in S. M. Pollock et al., Eds., Handbooks in OR & MS, Vol. 6, Elsevier, New York, 1994, pp. 621–669.	
	19	SAATY, T. L., Selections from The Analytic Hierarchy Process: Planning, Priority Setting and Resource Allocation, RWS Publications, Pittsburgh, 1990, pp. a19-a24.	
	20	SCHIFFMAN, S. S. ET AL., Introduction to Multidimensional Scaling, Academic Press, 1981, pp. 74–82, 321–333.	
	21	SIEGEL, S. AND CASTELLAN, J., "Nonparametric statistics for the social sciences," 2nd Ed., McGraw-Hill, 1988, pp. 22–36.	
	22	SINHA, D. ET AL, "A general class of aggregation operators with applications to information fusion in distributed systems," 1989 IEEE Intel Conference on Systems,	
	//	Man and Cybernetics, (PROC), 1989, pp. 921–927.	
	23	STEVENS, S. S., "On the theory of scales of measurement," Science, 103, 1946, pp. 677–680.	
	24	TAKANE, Y. ET AL., "Nonmetric individual differences multidimensional scaling: an alternating least squares method with optimal scaling features," Psychometrika, 42,	
	//	1977, pp. 7–67.	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Index the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO	Complete if Known		
Caballate 15. 15.11.1 1 1 15.1 1 C	Application Number	10/632,000	
INFORMATION DISCLOSURE	Filing Date	07/30/2003	
STATEMENT BY APPLICANT	First Named Inventor	Wolman, Abel	
(Use as many sheets as necessary)	Art Unit	2171	
(Use as many sneets as necessary)	Examiner Name		
Sheet 4 of 6	Attorney Docket Number	1624-3641	

	O:4-	NON PATENT LITERATURE DOCUMENTS	г
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	25	WISH, M. AND CARROLL, J. D., "Multidimensional scaling and its applications," in P. R. Krishnaiah and L. N. Kanal, Eds., Handbook of Statistics, Vol. 2. North-Holland,	
	//	PP. 317-345	
	26	YOUNG, M. "The organization of neural systems in the primate cerebral cortex," Biological Sciences, 252, 1993, pp. 13–18.	
_	27	ZHOU ET AL., "A linearly constrained least squares approach for multisensor data fusion," SPIE, 3067, 1997, pp. 118–129.	
	28	PERREAULT, W. D. AND YOUNG, F. W., "Alternating least squares optimal scaling: analysis of nonmetric data in marketing research,"	
	//	Journal of Marketing Research, 17, 1980, 1-13.	
·			
		·	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

Substitute for form 1449/PTO		Complete il Anown				
00.			Application Number	10/632,000		
16	FORMATION DISC	CI OSLIDE	Filing Date	07/30/2003		
	•• • • • • • • • • • • • • • • • • • • •		First Named Inventor	Wolman, Abel		
S	TATEMENT BY AP		Art Unit	2171		
	(Use as many sheets as nec	cessary)	Examiner Name			
Sheet	5 of 6		Attorney Docket Number	1624-3641		

	U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (J known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		^{US-} 5,321,613	06-1994	Porter et al			
		^{US-} 5,437,279	08-1995	Gray, Lincoln C.			
		US- 5,706,402	01-1998	Bell, Anthony J.			
		^{US-} 5,729,451	03-1998	Gibbs, et al	•		
		^{US-} 5,175,710	12-1992	Hutson			
		^{US-} 5,181259	01-1993	Rorvig			
		^{US-} 5,235,506	08-1993	O'Brien, Jr.			
		^{US-} 5,245,587	09-1993	Hutson			
		^{US-} 5,402,335	03-1995	O'Brien			
		^{US-} 5,348,020	09-1994	Hutson			
		^{US-} 5,422,961	06-1995	Simard			
		^{US-} 5,437,279	08-1995	Gray			
		^{US-} 5,490,516	02-1996	Hutson			
		^{US-} 5,574,837	11-1996	Clark et al			
		US- 5,579,766	12-1996	Gray			
		US- 5,596,644	01-1997	Abel et al			
		^{US-} 5,602,938	02-1997	Akiyama et al			
		^{US-} 5,625,767	04-1997	Bartell et al			
		^{US-} 5, 802,207	09-1998	Huang			

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY			T⁵

			_
Examiner	Date	·	
Signature	Considered		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. See Finds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. See Finds Codes of USPTO Patent Documents, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO	Complete if Known			
	Application Number	10/632,000		
INFORMATION DISCLOSURE	Filing Date	07/30/2003		
STATEMENT BY APPLICANT	First Named Inventor	Wolman, Abel		
	Art Unit	2171		
(Use as many sheets as necessary)	Examiner Name			

6

Sheet

of |6

Attorney Docket Number | 1624-3641

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (J known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		^{US-} 5,987,094	11-1999	Clark et al		
		^{US-} 6,332,034	12-2001	Makram-Ebeid et al		
		^{US-} 6,546,117	04-2003	Sun et al		
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹			Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
				Or Relevant Figures Appear			
-							

Examiner	Date		
Signature	Considered	}	
	1		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Set Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.